



HEART & STROKE FOUNDATION

**Canadian Partnership  
for Stroke Recovery**

RESTORING LIVES THROUGH RESEARCH

# Neuroplasticity & Stroke Recovery

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University of Ottawa  
Scientific Director & CEO

Southeastern Ontario Stroke Symposium:  
Best Practice Stroke Prevention and Care  
Wednesday Dec. 4<sup>th</sup>, 2013, Kingston, ON



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## **Mission of the Canadian Partnership for Stroke Recovery:**

**We restore quality of life to people affected by stroke by harnessing the collective expertise of leading national and international stroke recovery researchers to create, share and apply new knowledge.**



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## **Some Facts About Stroke**

A clinically-evident stroke occurs every 10 minutes in Canada

Stroke is the leading cause of adult neurological disability, the second leading cause of dementia and the third leading cause of death

There is an urgent need to focus on stroke recovery and rehabilitation since it offers the most hope for stroke survivors



## Stroke Recovery: Research, Knowledge Translation & Advocacy

### Baycrest

Designing cognitive therapies for stroke recovery, stroke recovery databases

### Memorial University

Exercise, rehabilitation, and cellular & molecular basis of stroke recovery

Baycrest

Memorial

uOttawa  
OHRI

Sunnybrook  
Toronto  
Rehab

**uOttawa/Ottawa Hospital  
Research Institute**  
Molecular & cellular  
mechanisms of brain repair

**Sunnybrook Health Sciences  
& Toronto Rehab**  
Imaging, rehabilitation,  
exercise & other  
interventions to optimize  
stroke recovery

- > 125 scientists
- 20 projects in 3 areas of focus
- International peer review



## Strategic Research Areas

- Focus 1: Exercise, stroke recovery & brain health
- Focus 2: Small Vessel Disease, Cognitive Function, Covert Stroke & Alzheimer's Disease
- Focus 3: Regenerative Approaches to Stroke Recovery & Brain Health
- Knowledge Translation



# How Big a Problem is Stroke?



?????

Problem solving, planning,  
strategy formation





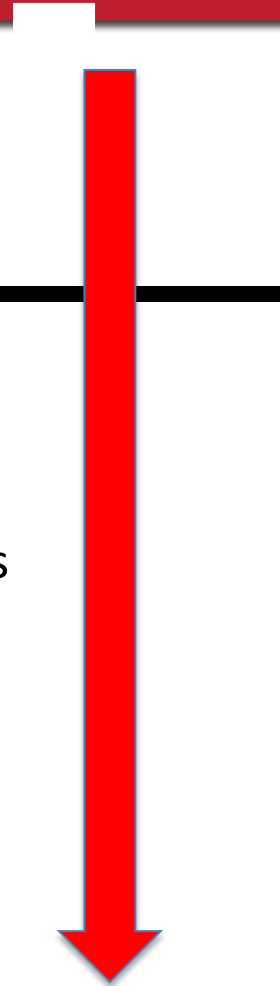
# Combating Stroke

- **Prevention**
  - Reduce risk factors
- **Minimizing the Insult**
  - Recognize warning signs
  - Clot busting drugs
- **Neuroprotection**
  - Drugs, hypothermia
- **Promoting Recovery**
  - Rehabilitation, exercise, drugs,
  - Growth factors, electrical stimulation

**Stroke**

Minutes-hours

Days, weeks, months,  
years





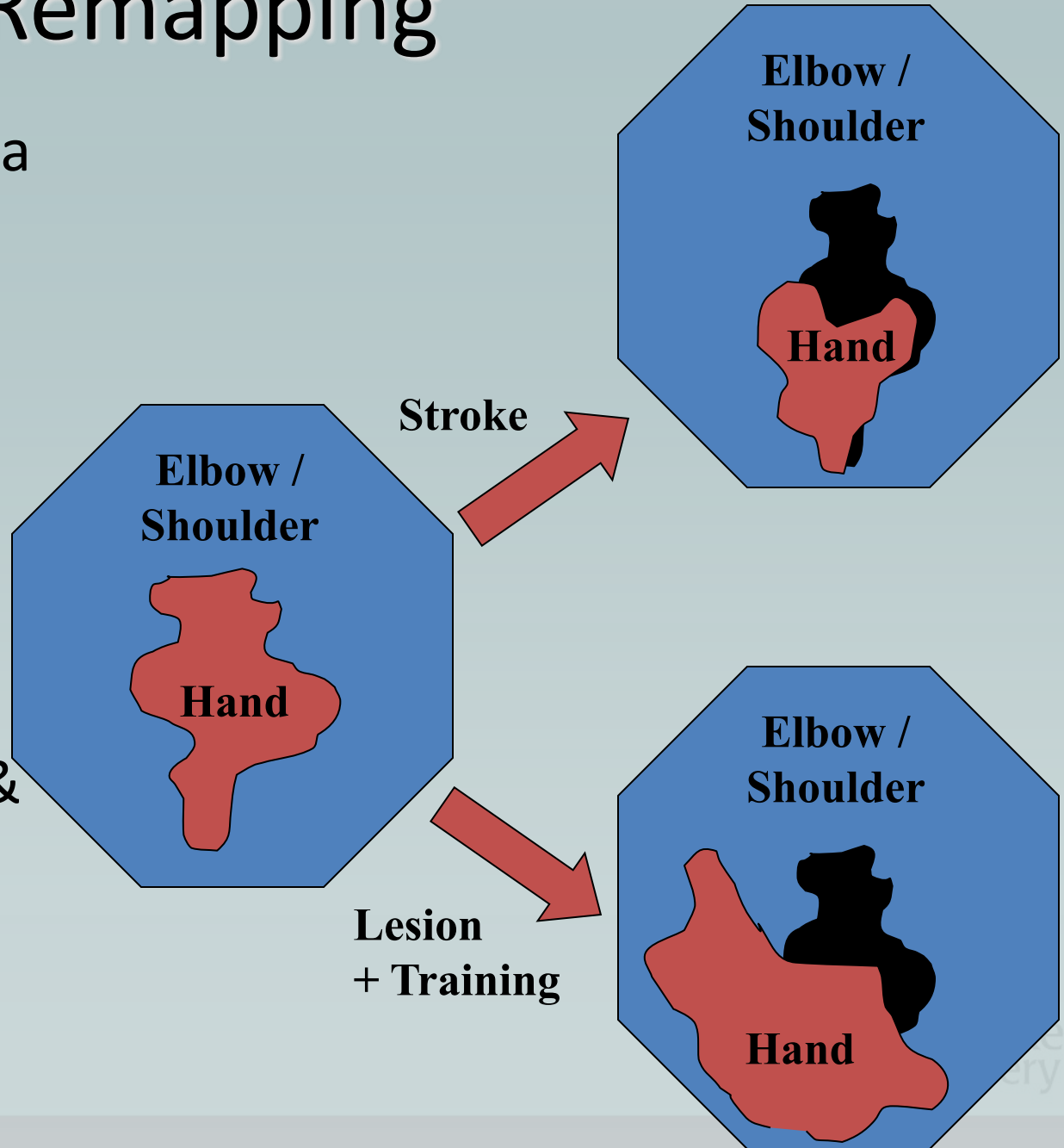
## Brain Plasticity Offers New Hope

- Historically thought that brain damage was irreversible, little hope for significant recovery
- This pessimistic view is changing due to discoveries in Neuroscience concerning *neuroplasticity*
- Neuroplasticity: Adaptive changes in response to injury and experience (e.g. sprouting of new connections, neurogenesis, angiogenesis)
- Harnessing neuroplasticity to dramatically improve stroke recovery is the new frontier in stroke research



# Cortical Remapping

- “Stroke” results in a decreased cortical representation of the hand
- However, post-stroke training (ie. rehabilitation) *increased* cortical representation of regions *previously* occupied by the hand
- Regions previously occupied by the hand were taken over by shoulder & elbow
- shoulder and elbow took over control of the digits





# Beginnings



Dr. Richard Jonas, Cardiac Surgeon, Children's Hospital,  
Harvard University, Boston, MA 1985



# Therapeutic Hypothermia Translation of Basic Research



WAYNE HERBERT/THE GLOBE AND MAIL

'I actually felt good when I woke up. I wasn't cold or anything,' says Berkeley Hutton, here with his wife Cathy. He was treated last month with therapeutic hypothermia.

## Chilling helped heart patient survive

Globe and Mail, March 28, 2006



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# Foothills Hospital Calgary





# Treating Upper Limb Dysfunction

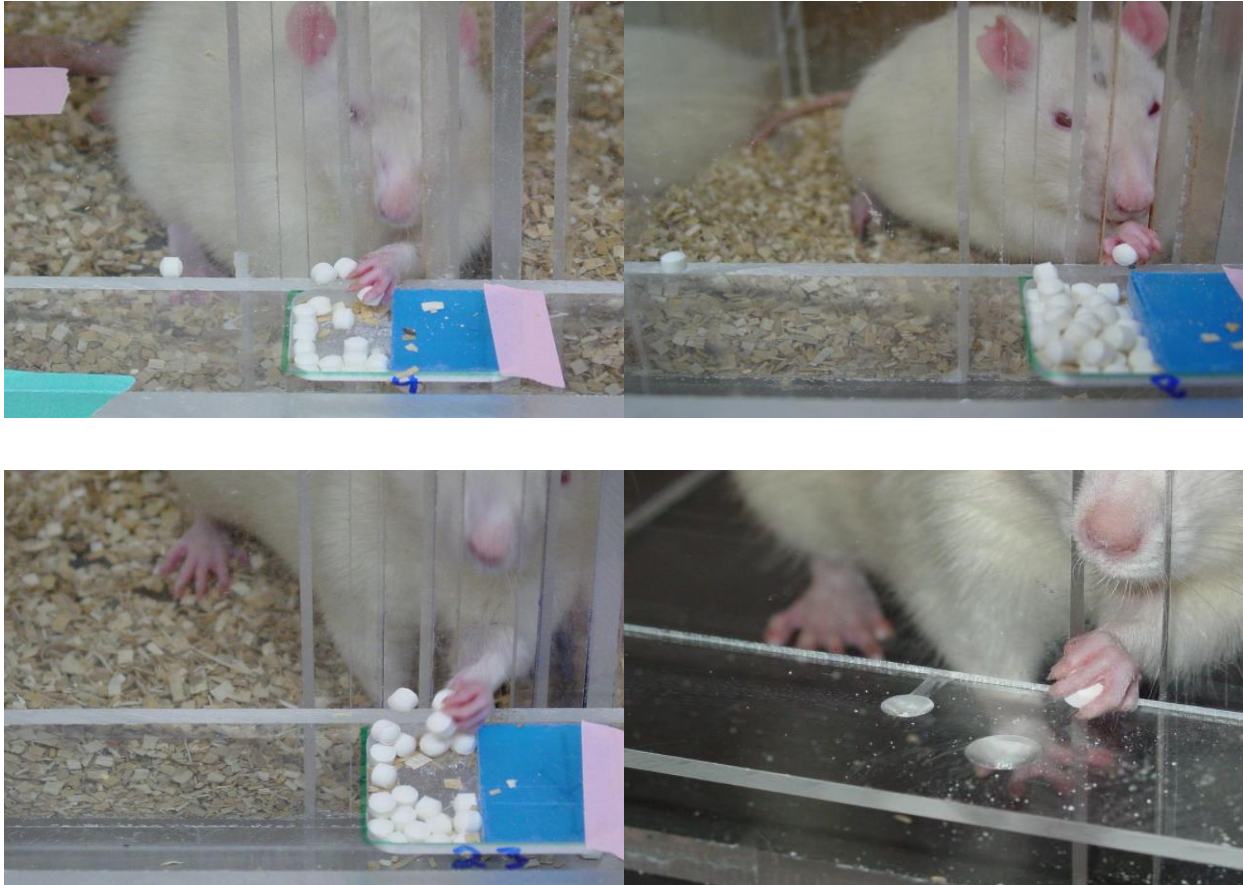


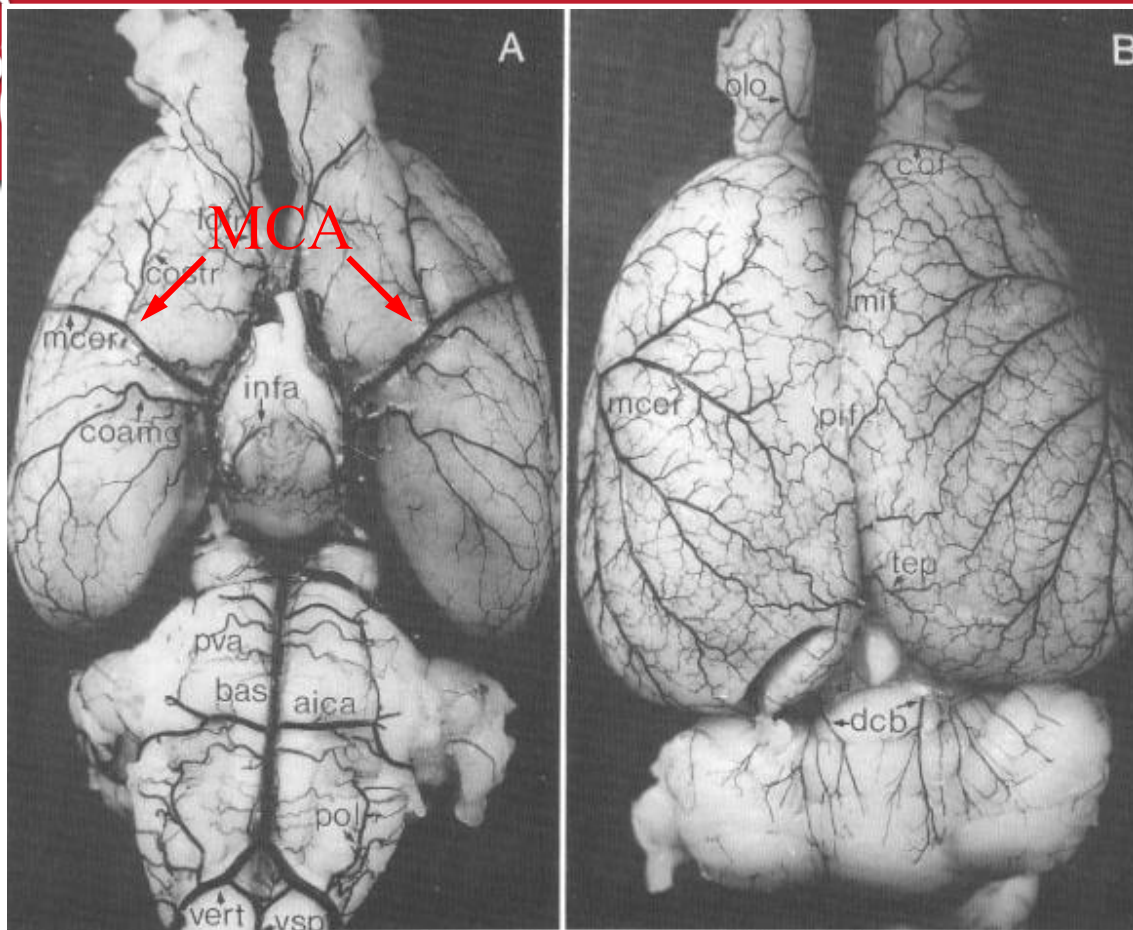
Ploughman & Corbett, Arch Phys Med Rehabil, 2004





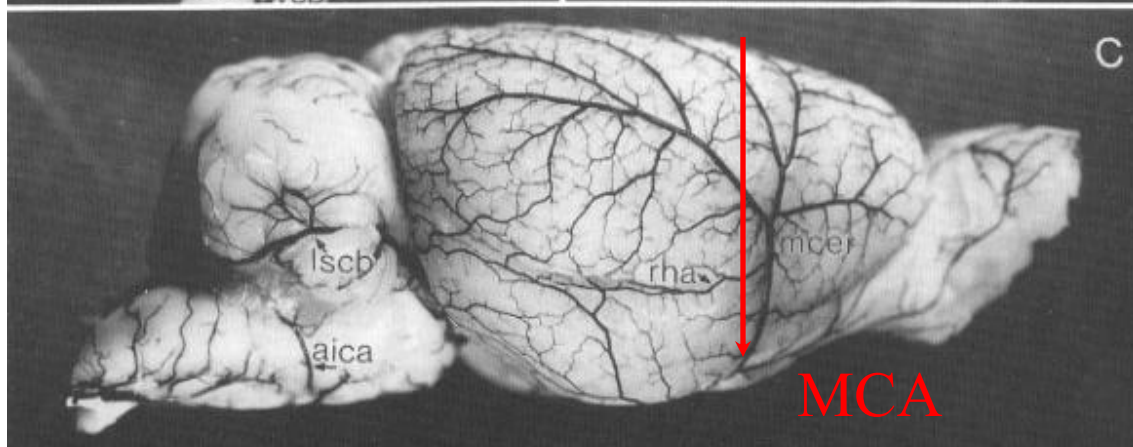
# Rat Model of Upper Limb Recovery





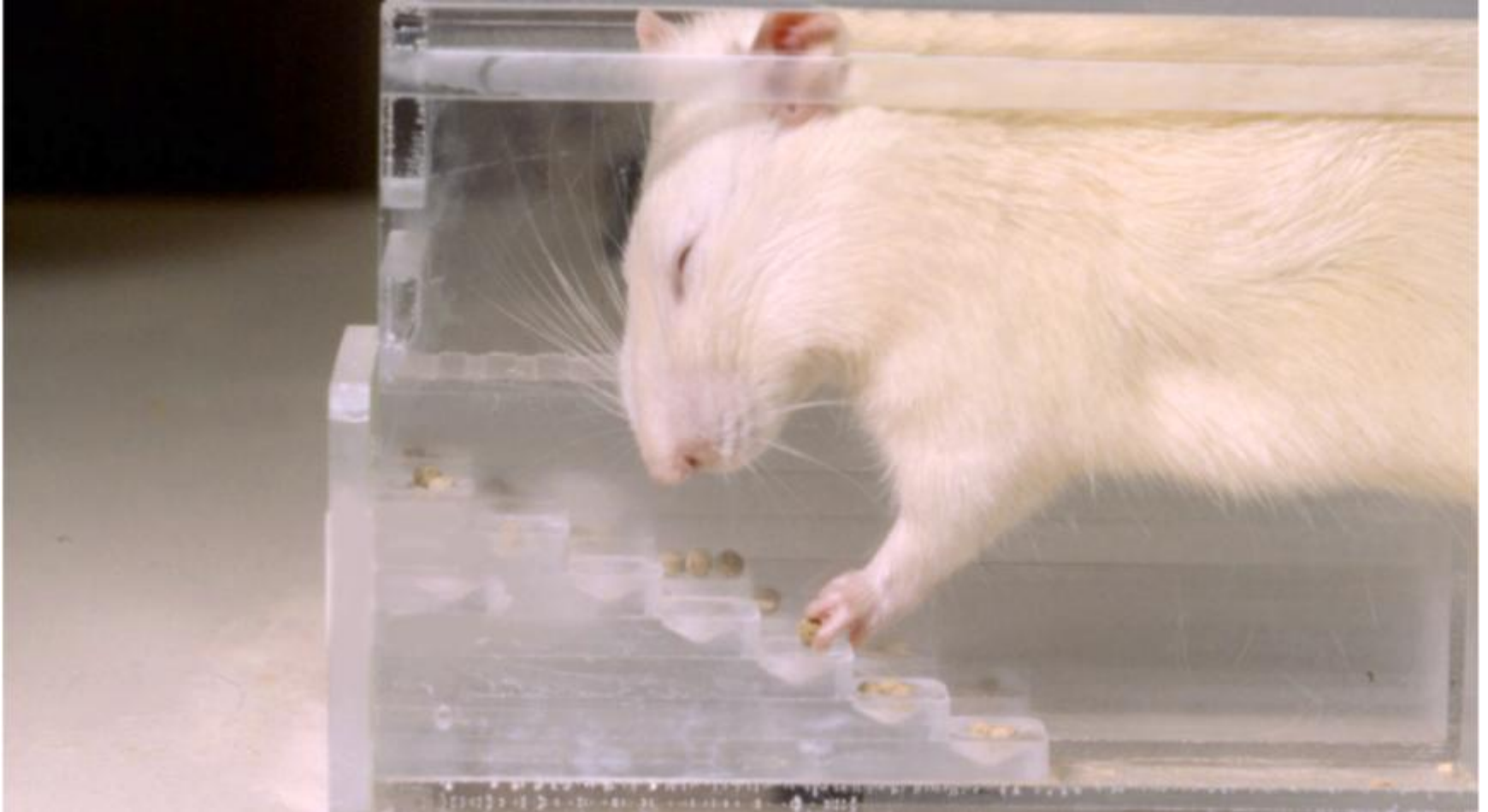
MCA = Middle Cerebral Artery

ET-1 Stroke Model developed by Victoria Windle, PT, PhD





# Staircase Reaching Test







## Enriched Rehabilitation

Rats housed in EE & have access to reach training apparatus 4 hr/day, 5 days per week





## Research Questions

- Do stimulating or enriched environments ***combined*** with task specific reach training improve recovery of upper limb deficits ?
- How does enriched-rehabilitation change the post-stroke brain?
- Is there an ***optimal time window*** following stroke when the brain is most sensitive to rehabilitation?
- Is the ***amount or intensity*** of rehabilitation important for recovery?

**Stroke**

**Start Rehabilitation**



14d

Post 1  
4 weeks

Post 2  
9 weeks

Behavioural  
Assessment

**Enriched Housing**

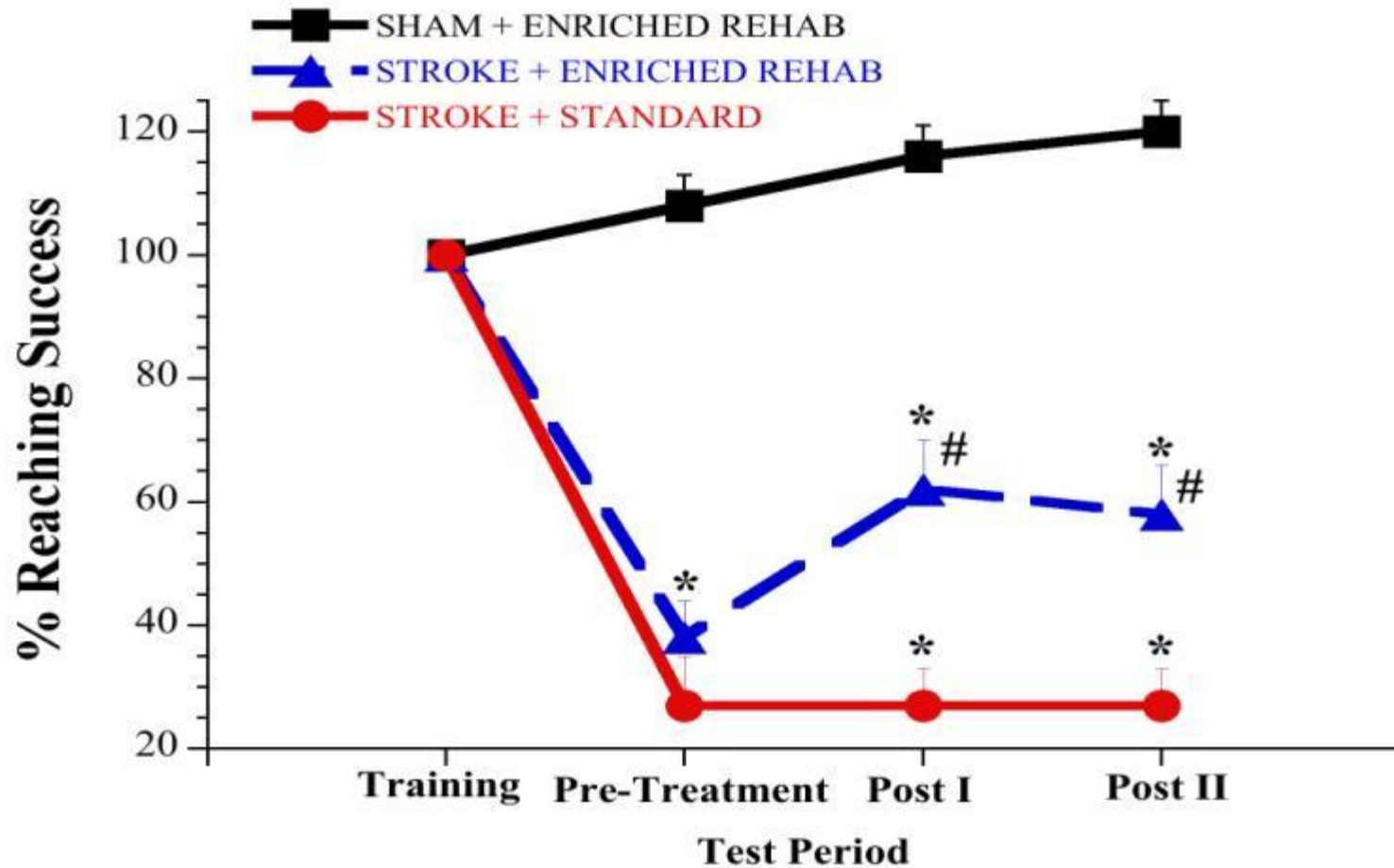


**Daily Reach Training**

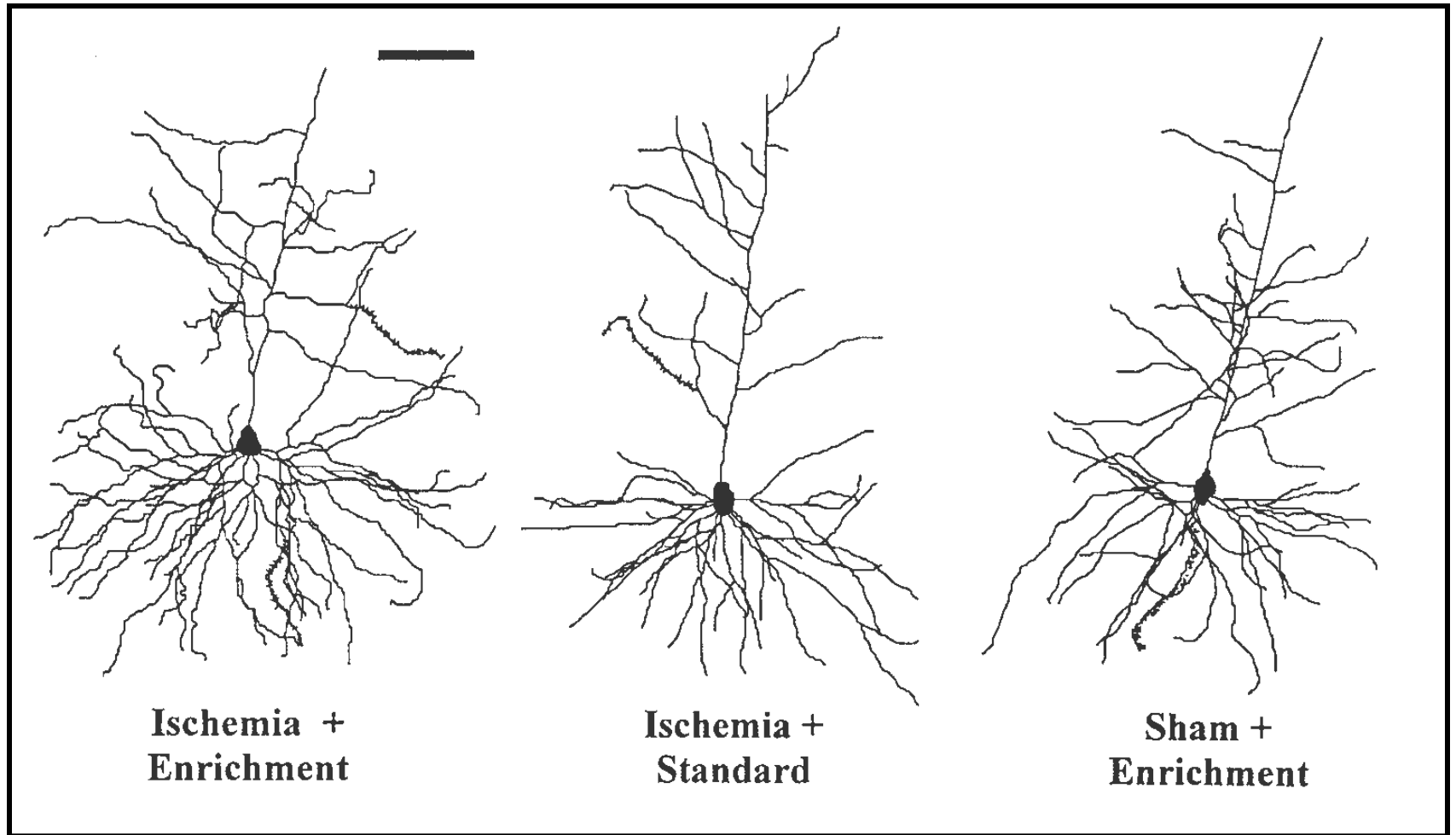




# Staircase Reaching Task



# Enrichment + Reach Training



Biernaskie & Corbett J. Neurosci., 2001

# Inactive & Alone

In first few weeks after stroke people are alone ~60% of the time

- During waking hours they were inactive~ 75% of the time (resting in bed or sitting)

Contrasts with enriched environment are striking: lack of stimulation, exercise & socialization





# Timing Matters!

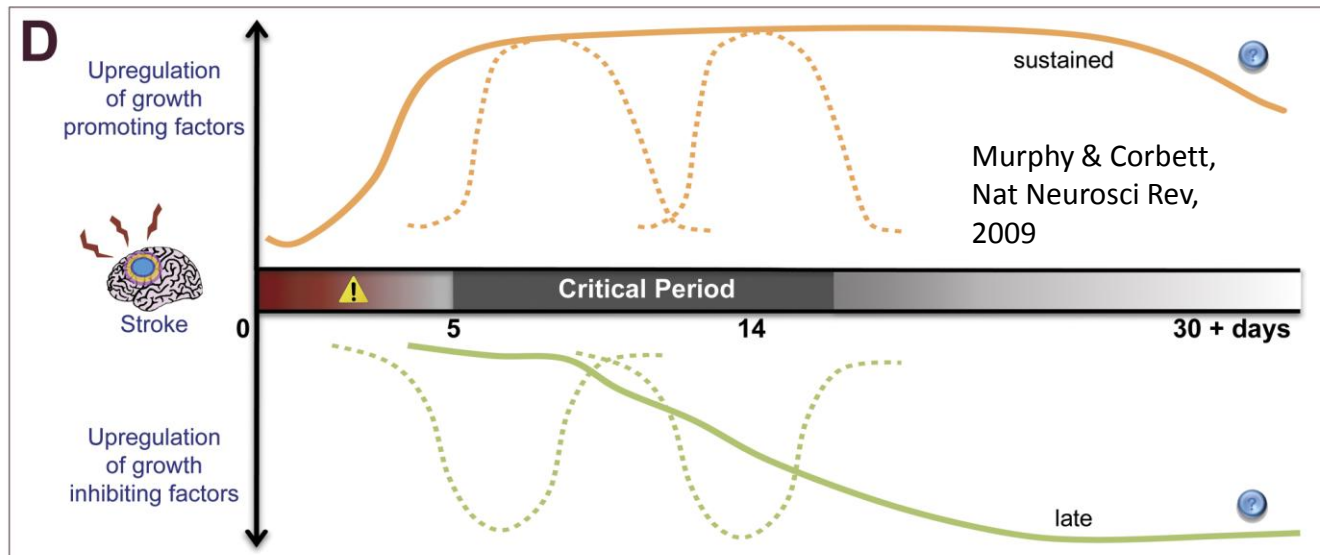
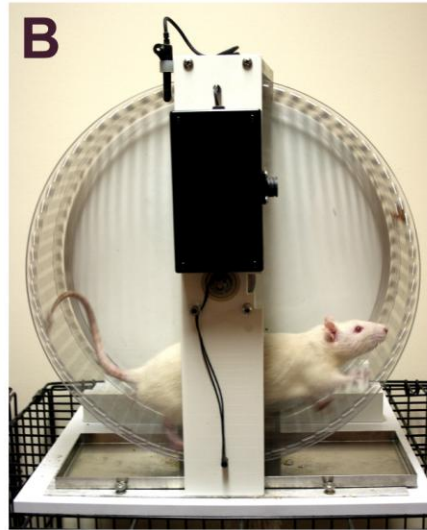
Further studies showed that there is a “critical” or “sensitive” period following stroke when interventions are most effective

Enrichment + Rehab was an effective therapy when initiated early (*5-14 days*) after stroke, but had limited benefit when started later (*30 days*)





# The Critical Period for Stroke Recovery







# Does BDNF Play A Critical Role in Stroke Recovery ?



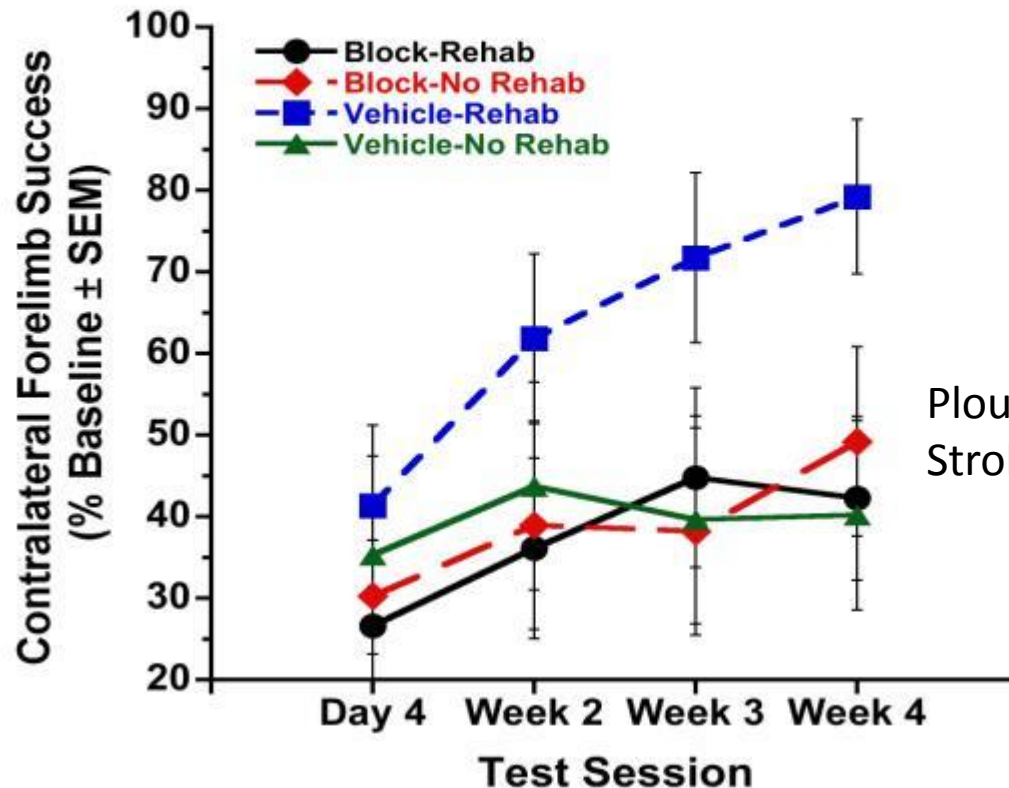
- BDNF promotes neuronal survival, sprouting of new connections, increases dendritic spine formation
- Implicated in learning and memory in animals and humans

Michelle Ploughman, PT, PhD



# Recovery of Skilled Reaching is Prevented by Blocking BDNF

## Staircase Task



Ploughman et al.,  
Stroke, 2009



# Recovery Plateaus?

- Stroke patients typically reach a plateau of motor recovery ~ 6-10 weeks after injury
- How much of this “apparent” plateau is due to a limit on the brain’s plasticity versus limitations of current rehabilitation practice?
- Could the ***amount or intensity*** of rehabilitation determine the level of recovery?

# Experimental Design



Crystal MacLellan, PT, PhD

5 groups all with Stroke

**Light STD**

n = 7

**Dark STD**

n = 7

**Light ER**

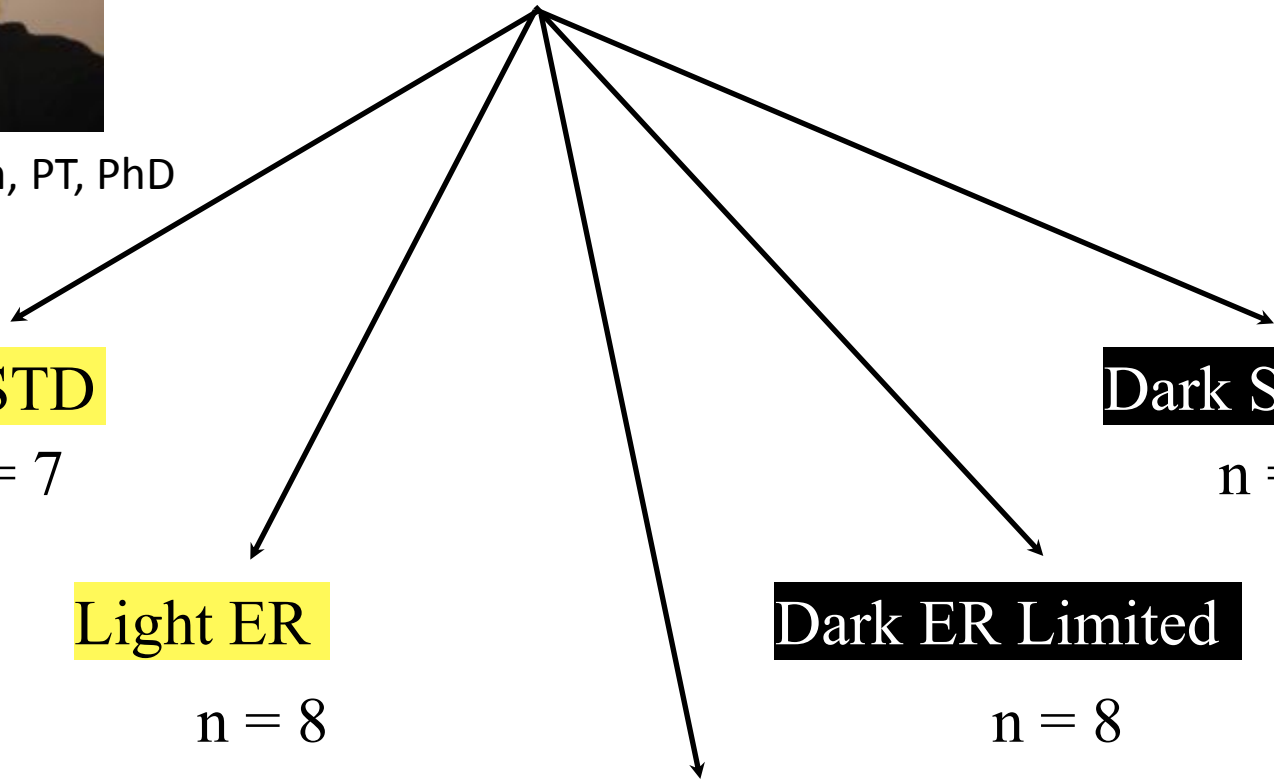
n = 8

**Dark ER Limited**

n = 8

**Dark ER Unlimited**

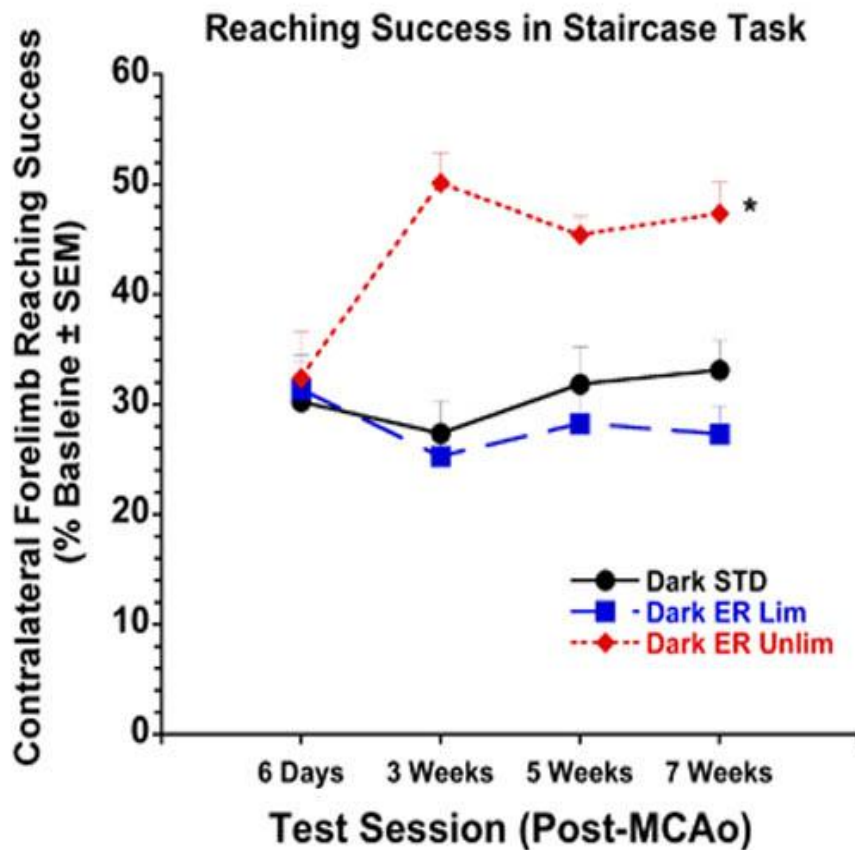
n = 9



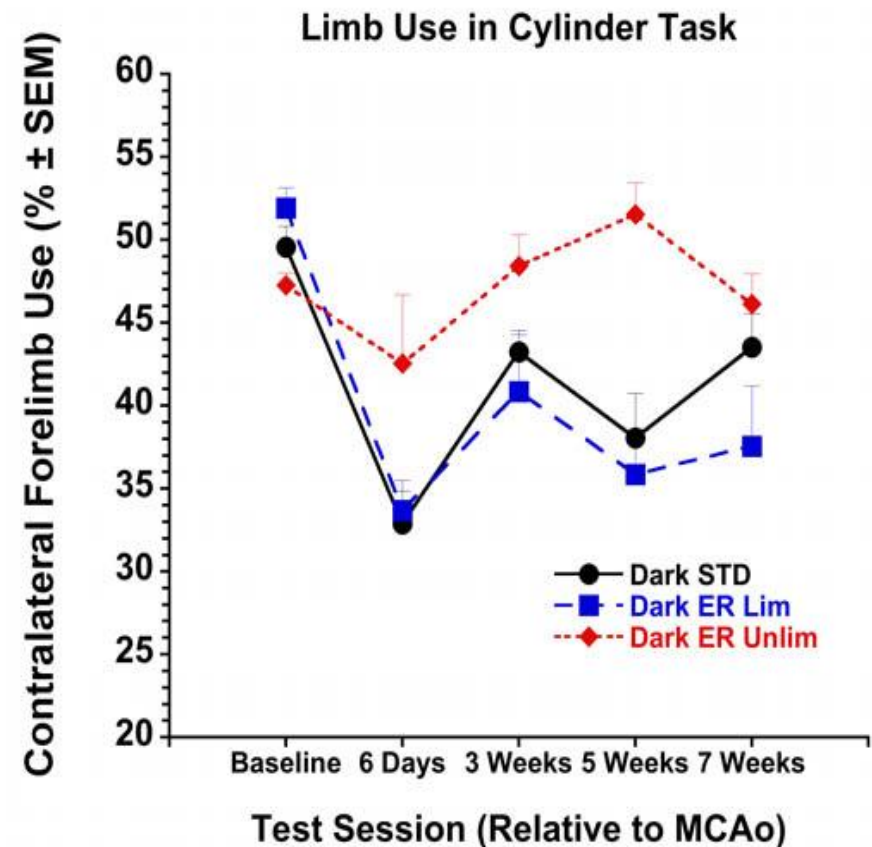


# Intensity of Rehabilitation Matters!

**A**

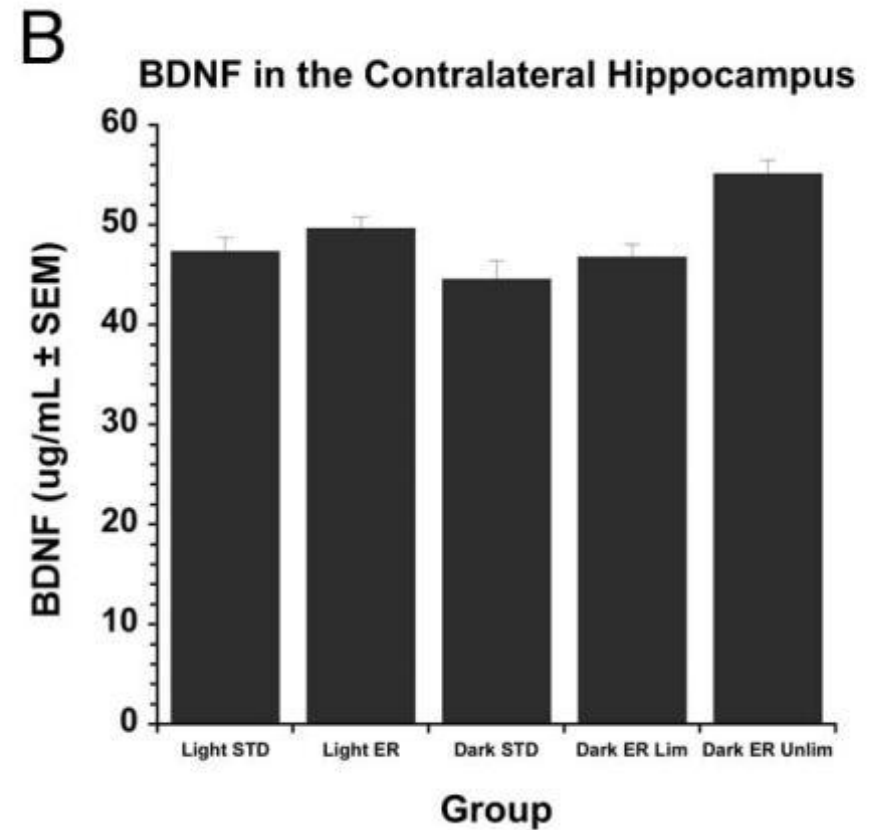
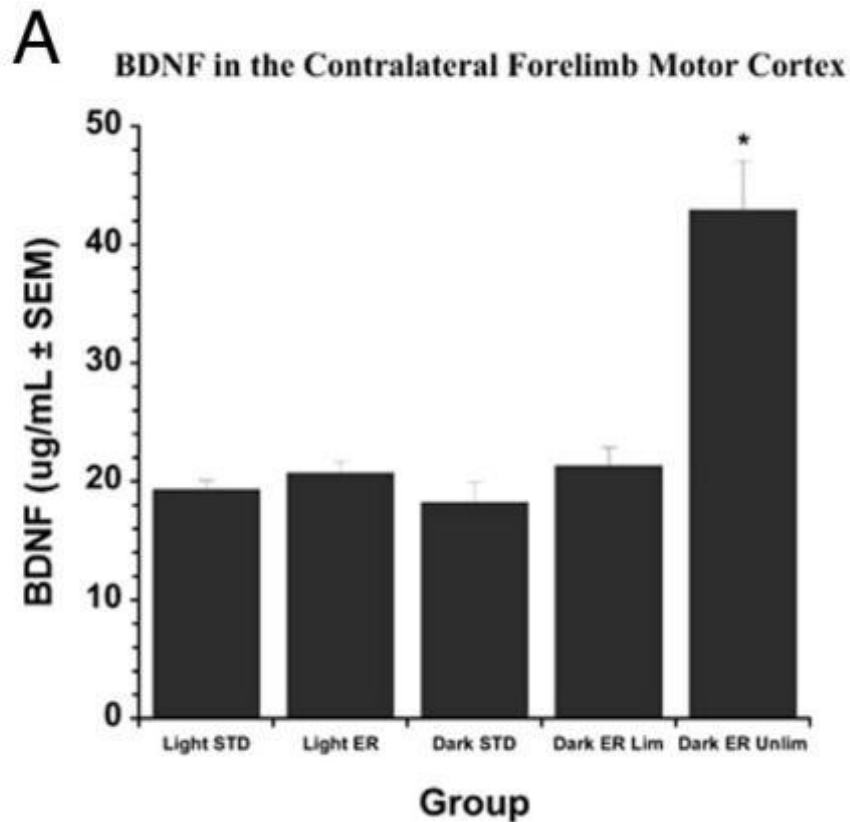


**B**





# Increases in BDNF Levels Mirror



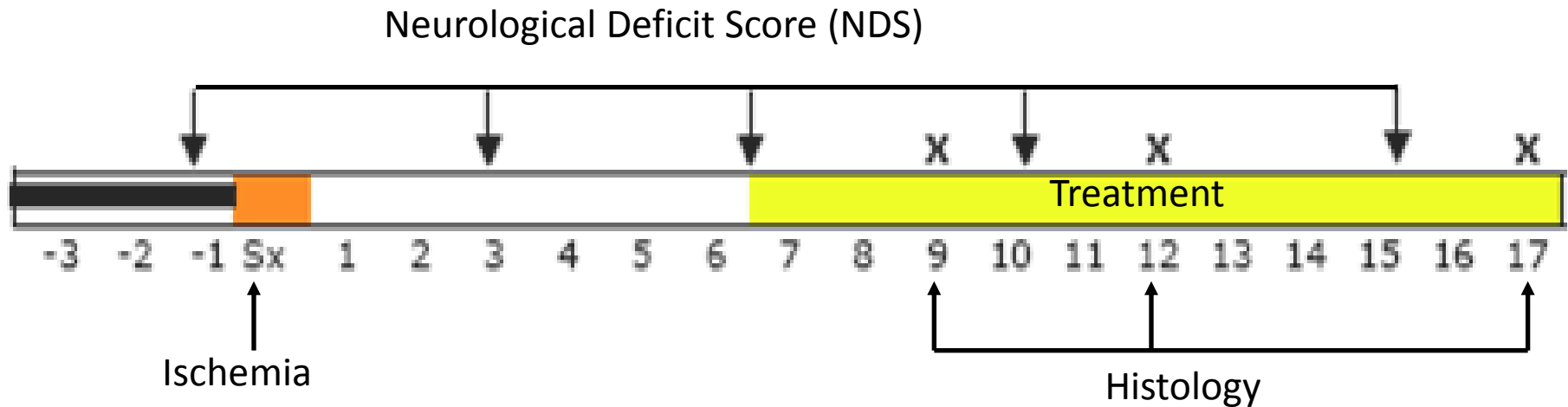


# Rethinking Recovery Plateaus

- Birkenmeier, 2010 (NNR) reported that the average number of upper limb repetitions per therapy session in human studies is ~ 32, in animal studies often > 300
- “Animal doses” of reach training can be delivered to stroke patients in 1 hour therapy sessions
- The use of much more intensive rehabilitation therapies for stroke patients is strongly supported.



# Where are the Seeds of Recovery Sown?



ST = Standard Housing  
EE = Enriched Environment  
RT = Daily Reach Training  
ER = Enriched Rehab

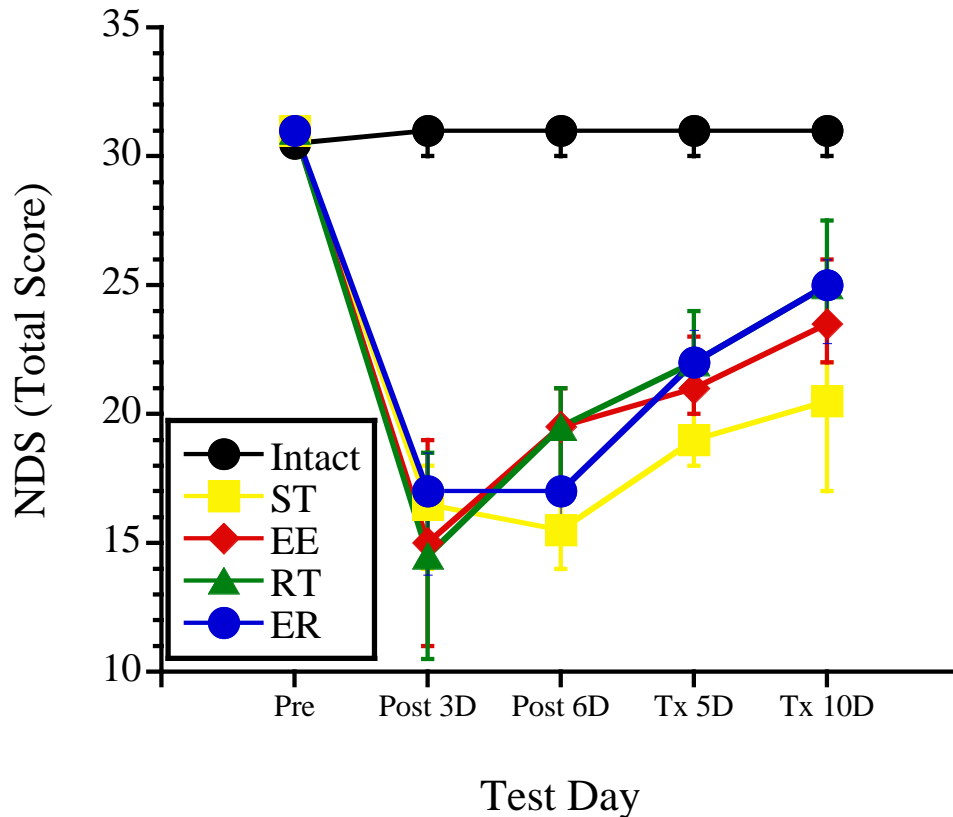
Infarct Volume

**\*FosB/ $\Delta$ FosB a marker of use-dependent neuronal activity**



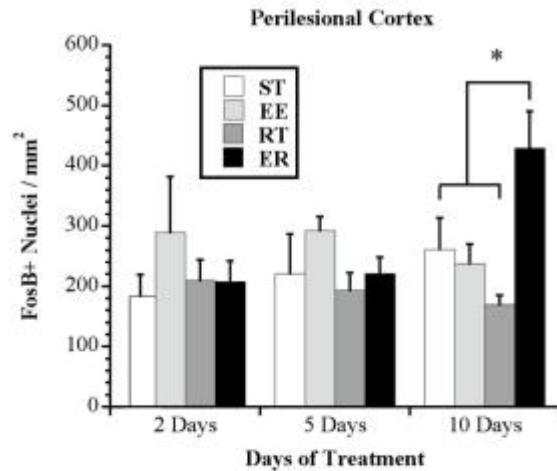


# Rehabilitation: Early Stages of Recovery

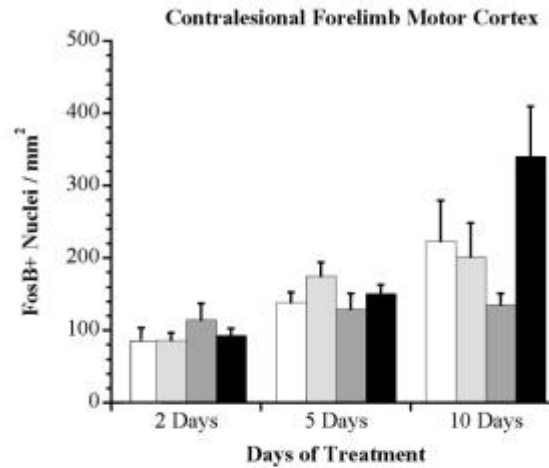


While all groups showed similar recovery, there is a trend suggests that treatments groups (EE, RT, ER) were showing signs of enhanced recovery after only a few days compared to ST animals.

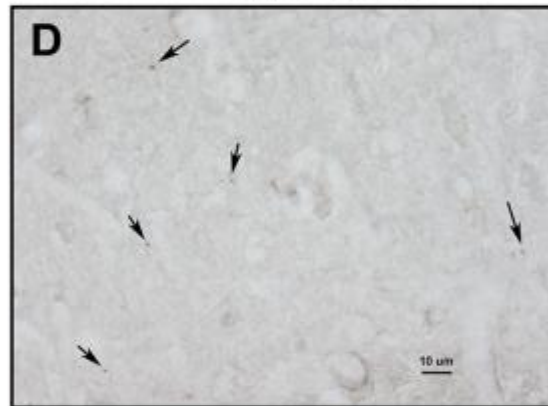
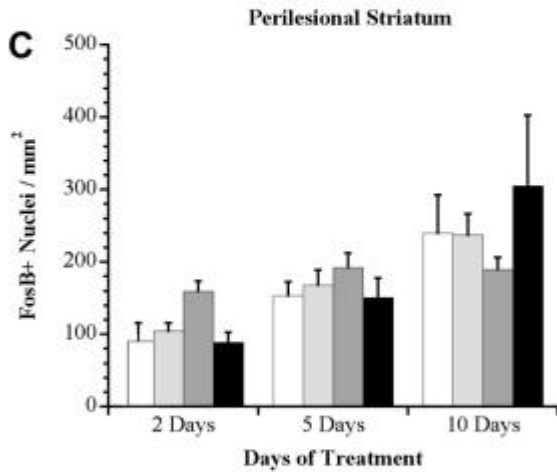
**A**



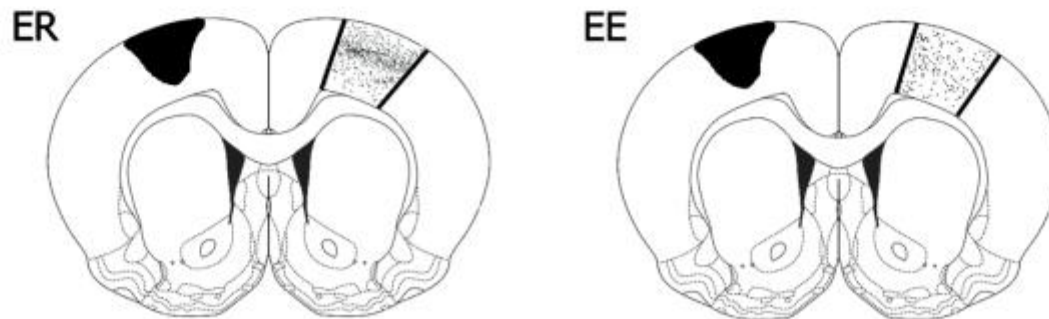
**B**



**C**



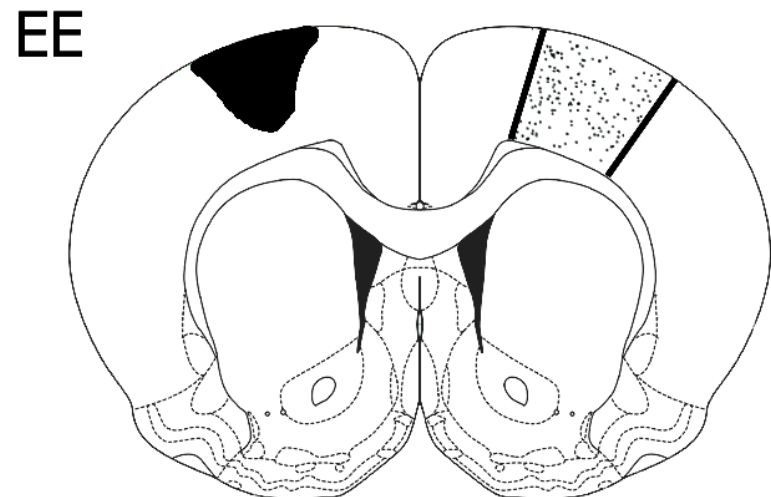
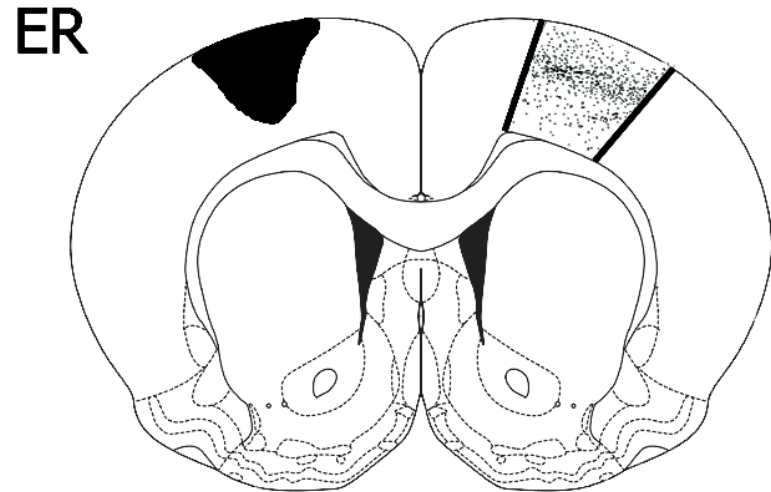
**E**





# Peri-infarct Increases in Neuronal Activity

ER increases FosB expression in cortical regions layer II/III



EE or RT alone does not show this pattern of increased activity



# Pharmacological Enhancement of Recovery

- Intensive rehabilitation enhances recovery in animal models and some stroke patients
- Many patients unable to tolerate such intensive therapy due to depression, fatigue, or frustration and recovery often incomplete
- Other interventions are needed, one possibility is to facilitate recovery by drugs that foster neuroplasticity



# Altered Brain Excitability in Peri-Infarct Cortex After Stroke

- Following stroke there is loss of function in surviving peri-infarct cortex
- Over time new maps are formed or new representations are created
- Peri-infarct cortex thought to be critical for stroke recovery
- Changes in excitability in this region may provide clues for drug therapy to enhance recovery

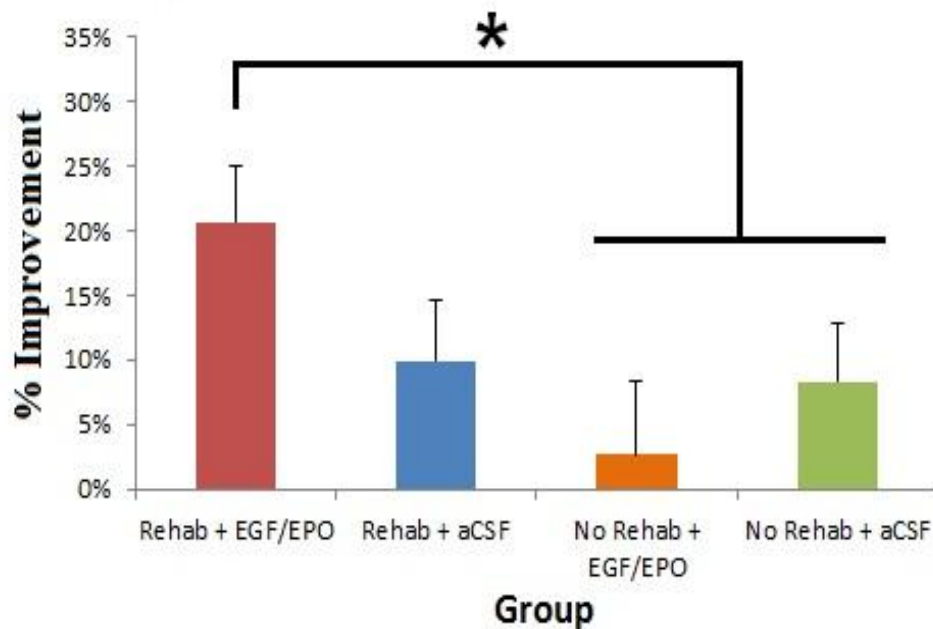


# Reversing GABA Inhibition in Peri-Infarct Cortex Improves Recovery

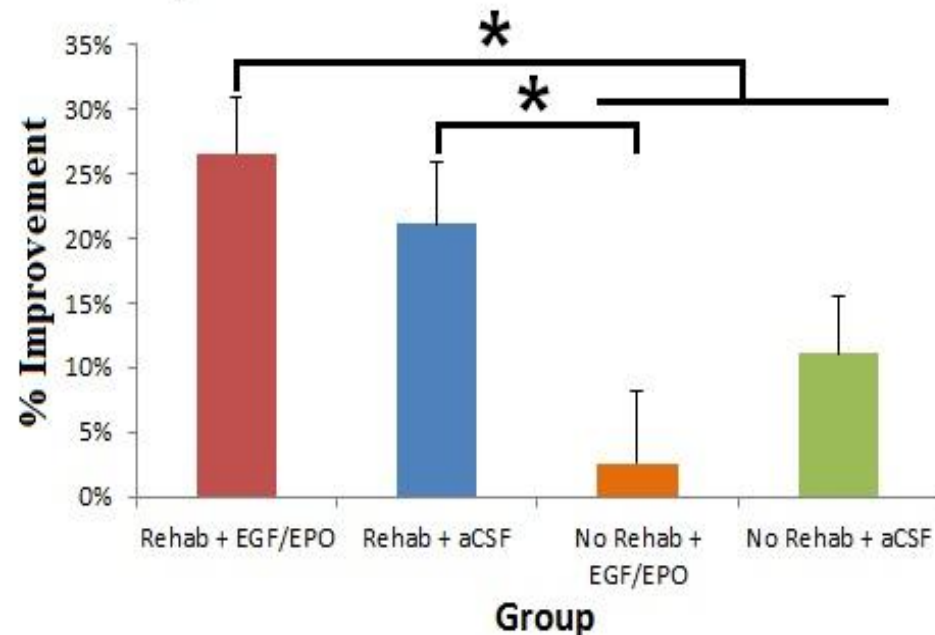
- Tonic inhibition is mediated by GABA<sub>A</sub> receptors
- Carmichael & colleagues used a selective inverse agonist (L655,708) to block GABA mediated inhibition
- Improves motor recovery when given 3 days after photothrombotic stroke in mice but earlier administration worsens outcome

# Mobilization of Endogenous Stem & NPCs With EGF/EPO Accelerates Recovery

## Improvement After 2 Weeks of Rehab



## Improvement After 6 Weeks of Rehab



**(Left)** Rehab + EGF/EPO animals recovered significantly more than non-rehab conditions after 2 weeks of rehabilitation.

**(Right)** This effect was also apparent at 6 weeks. Additionally, the Rehab + aCSF group recovered significantly more than animals in the No Rehab + EGF/EPO group.

\* =  $p < 0.05$

Jeffers & Corbett, Stroke, submitted



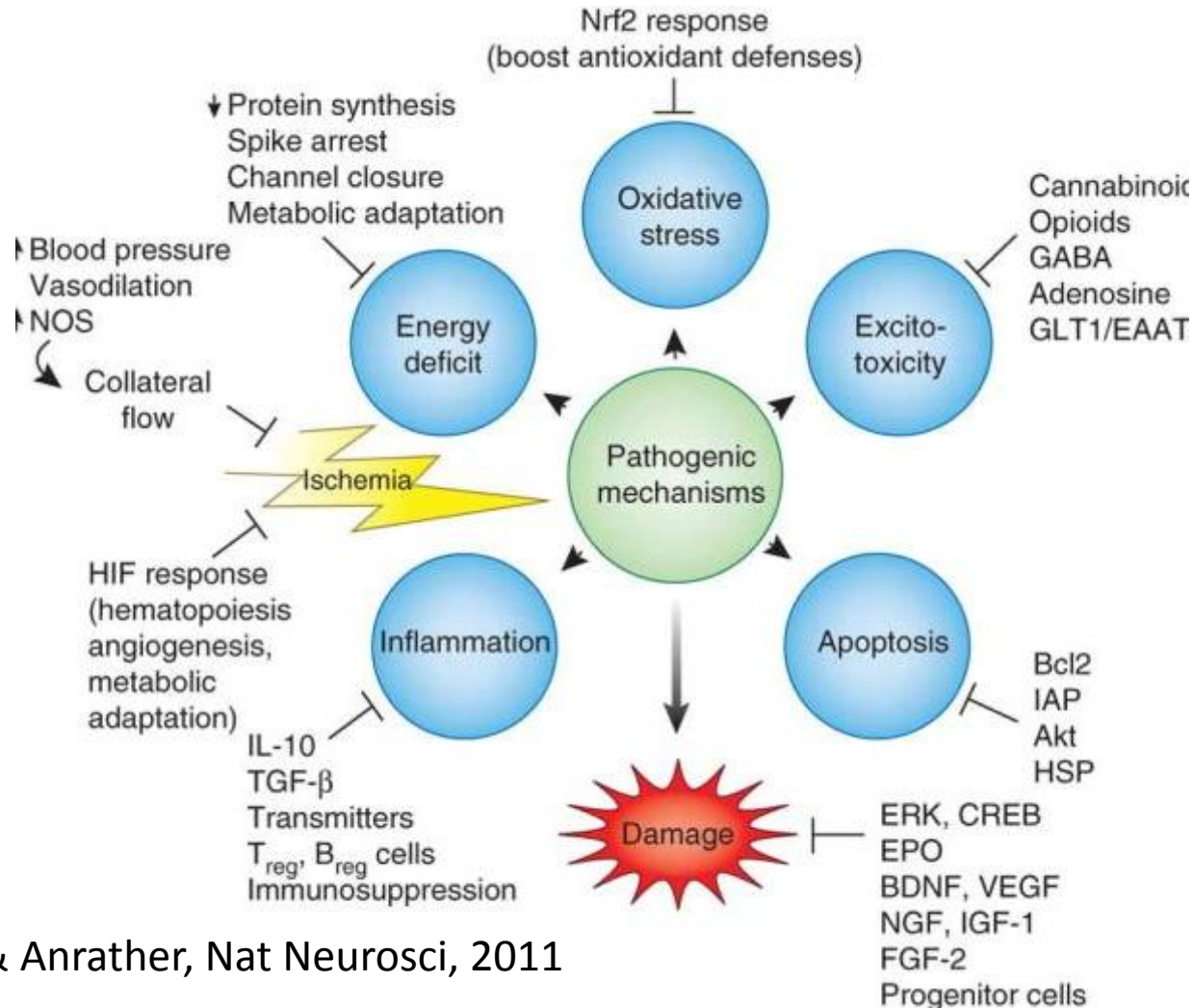
# Should we be Optimistic Given Past Failure in Stroke?

- Neural restoration interventions are given at a delay when patients are in hospital or clinic
- Treatments are given after all cell death has ceased and the patient is medically stable
- Tremendous progress in identifying diverse ways to enhance plasticity (rehab, exercise, electrical stimulation, drug therapy)





# How The Brain Protects Itself





## Take Home Messages

- Therapeutic interventions should augment a central “recovery program” similar to how hypothermia enhances the brain’s “protection program”
- Stimulating environments & exercise are examples of interventions that “prime” the brain to make it more receptive to task specific therapy
- BDNF plays a key role in stroke recovery (Ploughman et al., 2009; Clarkson et al., 2011)
- Timing, repetition & intensity matter- there is a critical threshold of rehabilitation needed for stroke recovery
- Combination/cocktail therapies (e.g. enriched rehabilitation, exercise, hypothermia) are superior to monotherapies